

DATASHEET

A Seal-Software Insight Accelerator

SEAL'S INSIGHT ACCELERATORS:

- Pre-built collections of richly detailed analytic policies.
- Designed to address specific subject matter in a variety of frequent business use cases.
- Easily deployed on the Seal platform.
- Provide detailed insight into business, compliance, legal and regulatory challenges
- Generate actionable information for critical topics to accelerate contract analysis, review and remediation efforts.

REPRESENTATIVE TOPICS INCLUDE:

- Additional Termination Event
- Alternative Dispute Resolution
- Automatic Early Termination
- Base Currency
- Covered Transactions
- Credit Event Upon Merger
- Credit Support
- Cross Default
- Eligible Currency
- Form Version
- FX Haircut Percentage
- Governing Law
- Guaranties
- HIRE Act
- Illegality
- Independent Amount
- Interest Adjustment
- Minimum Transfer Amount
- Multibranch Party
- Negative Interest
- Notification Time
- Payment Netting
- Resolution Time
- Specified Indebtedness
- Specified Transaction
- Termination Currency
- Third Party Rights
- Threshold Amount
- Total Ineligibility Date
- Transfer Ineligibility Date
- Valuation Agent
- Valuation Frequency
- Valuation Percentage
- Valuation Time

QFC Insight™

RESOLUTION PREPAREDNESS

1. The Challenge

Large financial institutions face increasing pressure to evaluate and disseminate in real time key data points associated with their qualified financial contracts (QFCs). SR 14-1 requires daily recording of critical information across a variety of document types and transactions, as well as across a range of financial and risk-related topics. Given the scope and complexity of the data points required, maintaining this information on a recurring, real-time basis using traditional manual solutions is not only expensive and cumbersome (with tracking costs for most organizations measured in the millions annually), but is largely unworkable.

2. The Solution

QFC Insight™ is Seal's comprehensive answer for the regulatory requirements surrounding analysis of QFC contracts. Combining the Seal platform's powerful AI capabilities with Seal's legal-AI industry expertise and deep understanding of the financial services industry's compliance and regulatory needs, QFC Insight delivers an unprecedented level of insight and automation into a wide variety of QFCs.

3. Products and Topics Addressed

QFC Insight is built to correspond to the most common QFC issues and products. Specifically, we have created targeted analytics that are built to extract topics from a wide range of derivatives documentation, including ISDA, Repo, SLA and other transactions.

QFC Insight delivers an unprecedented level of insight and automation into a wide variety of QFCs.

4. Insights & Answers

QFC Insight does much more than identify whether a topic is generally addressed. It is a detailed analytic set designed to dovetail with specific regulatory requirements and business needs.

For example, QFC Insight doesn't simply answer the question: "is payment netting applicable to a QFC transaction." Instead, QFC Insight looks across related QFC documentation and asks and answers detailed additional questions such as:

- Is payment netting applicable to transactions entered into under a specific QFC master agreement or schedule?
- What is the specific language giving rise to the payment netting obligation?
- Are specific transactions excluded or included in a party's payment netting obligation (i.e., FX and Currency Option transactions)?
- In what document or documents is the relevant payment netting language present?

Importantly, where applicable, QFC Insight also reports on these obligations on a party specific basis.

Looking for more insight?

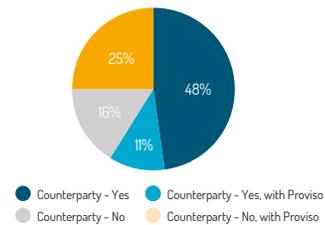
Seal insight accelerators are designed and built by legal AI-literate industry experts to address the specific requirements for individual industry and regulatory requirements.

Insight accelerators are available for the following use cases:

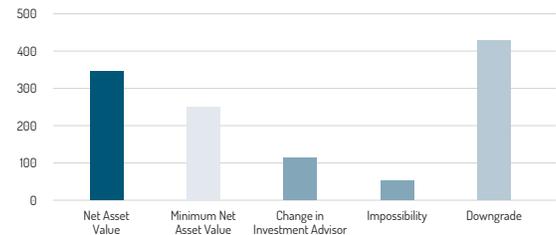
- Brexit
- Commercial Credit Agreements
- GDPR - Data Privacy and Security
- Lease Agreements
- M&A
- NDA
- Procurement
- Qualified Financial Contracts

Custom accelerators are available through Seal Professional Services.

Automatic Early Termination



Additional Termination Events



5. Components

- **Comprehensive Analytics Set** – easy to install on the Seal platform, tested in most strenuous environments against tens of thousands of contracts
- **Rule Book** – a user guide to know what to expect from each analytic
- **Playbook** – recommended view with analytics and corresponding answer fields with pick list of answers
- **Topical Updates** – analytic updates to correspond to evolving business and regulatory requirements

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